

113<sup>TH</sup> CONGRESS  
2<sup>D</sup> SESSION

**S.** \_\_\_\_\_

To amend the Federal Food, Drug, and Cosmetic Act to provide for regulating clinical and health software, and for other purposes.

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IN THE SENATE OF THE UNITED STATES

Mrs. FISCHER introduced the following bill; which was read twice and referred to the Committee on \_\_\_\_\_

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**A BILL**

To amend the Federal Food, Drug, and Cosmetic Act to provide for regulating clinical and health software, and for other purposes.

1       *Be it enacted by the Senate and House of Representa-*  
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4       This Act may be cited as the “Preventing Regulatory  
5 Overreach To Enhance Care Technology Act of 2014” or  
6 the “PROTECT Act of 2014”.

7 **SEC. 2. FINDINGS; SENSE OF CONGRESS.**

8       (a) FINDINGS.—Congress finds as follows:

9           (1) The mobile health and mobile application  
10       economy was created in the United States and is

1 now being exported globally, with the market ex-  
2 pected to exceed \$26,000,000,000 by 2017.

3 (2) The United States mobile application econ-  
4 omy is responsible for nearly 500,000 new jobs in  
5 the United States.

6 (3) Consumer technologies such as smart  
7 phones and tablets have the potential to transform  
8 health care delivery through reduced systemic costs,  
9 improved patient safety, and better clinical out-  
10 comes.

11 (4) The Food and Drug Administration has  
12 sought to expand its enforcement discretion by regu-  
13 lating the dynamic mobile health and mobile applica-  
14 tion market through the sub-regulatory guidance,  
15 “Final Guidance on Mobile Medical Applications”,  
16 issued by the Food and Drug Administration on  
17 September 25, 2013. In so doing, the Food and  
18 Drug Administration has set aside economic impact  
19 analysis and failed to defer to Congress’ stated pref-  
20 erence under the Food and Drug Administration  
21 Safety and Innovation Act (Public Law 112–144)  
22 that the agency make recommendations to Congress  
23 about a new risk-based, regulatory framework.

1           (5) Clinical and health software innovation cy-  
2           cles evolve and move faster than the existing regu-  
3           latory approval processes.

4           (6) The current Food and Drug Administration  
5           structure for regulating health care technology was  
6           conceived in an era of discreet devices and wired  
7           connections that ill-suits the new environment of  
8           nimble applications that often are run wirelessly and  
9           hosted on the Internet. The role that the Food and  
10          Drug Administration has taken in regulating such  
11          technology exceeds the role Congress expected the  
12          agency to take.

13          (7) Consumers and innovators need a new  
14          framework for the oversight of clinical and health  
15          software that improves on the framework of the  
16          Food and Drug Administration.

17          (8) A working group convened jointly by the  
18          Food and Drug Administration, the Federal Com-  
19          munications Commission, and the Office of the Na-  
20          tional Coordinator for Health Information Tech-  
21          nology identified in a report that there are several  
22          major barriers to the effective regulation of mobile  
23          health and health software that cannot be alleviated  
24          without changes to existing law.

1 (b) SENSE OF CONGRESS.—It is the sense of Con-  
2 gress that—

3 (1) the President and Congress must intervene  
4 to facilitate interagency coordination across regu-  
5 lators that focuses agency efforts on fostering health  
6 information technology and mobile health innovation  
7 while better protecting patient safety, improving  
8 health care, and creating jobs in the United States;

9 (2) the President and the Congress should work  
10 together to develop and enact legislation that estab-  
11 lishes a risk-based regulatory framework for such  
12 clinical software and health software that reduces  
13 regulatory burdens, promotes patient safety, and  
14 fosters innovation;

15 (3) The National Institute of Standards and  
16 Technology should be the Federal agency that has  
17 oversight over technical standards used by clinical  
18 software; and

19 (4) The National Institute of Standards and  
20 Technology, in collaboration with the Federal Com-  
21 munications Commission, the National Patient Safe-  
22 ty Foundation, and the Office of the National Coor-  
23 dinator for Health Information Technology, should  
24 work on next steps, beyond current oversight efforts,  
25 regarding health information technology, such as

1       granting nongovernmental entities the authority to  
2       recommend certification processes and to encourage  
3       best practice standards.

4       **SEC. 3. CLINICAL SOFTWARE AND HEALTH SOFTWARE.**

5       (a) DEFINITIONS.—Section 201 of the Federal Food,  
6       Drug, and Cosmetic Act (21 U.S.C. 321) is amended by  
7       adding at the end the following:

8       “(ss)(1) The term ‘clinical software’ means clinical  
9       decision support software or other software (including any  
10       associated hardware and process dependencies) intended  
11       for human or animal use that—

12               “(A) captures, analyzes, changes, or presents  
13       patient or population clinical data or information  
14       and may recommend courses of clinical action, but  
15       does not directly change the structure or any func-  
16       tion of the body of man or other animals; and

17               “(B) is intended to be marketed for use only by  
18       a health care provider in a health care setting.

19       “(2) The term ‘health software’ means software (in-  
20       cluding any associated hardware and process depend-  
21       encies) that is not clinical software and—

22               “(A) that captures, analyzes, changes, or pre-  
23       sents patient or population clinical data or informa-  
24       tion;

1 “(B) that supports administrative or oper-  
2 ational aspects of health care and is not used in the  
3 direct delivery of patient care; or

4 “(C) whose primary purpose is to act as a plat-  
5 form for a secondary software, to run or act as a  
6 mechanism for connectivity, or to store data.

7 “(3) The terms ‘clinical software’ and ‘health soft-  
8 ware’ do not include software—

9 “(A) that is intended to diagnose a patient or  
10 user, including by interpreting patient specific imag-  
11 ing data;

12 “(B) whose primary purpose is integral to the  
13 function of a drug or device; or

14 “(C) that is a component of a device.”.

15 (b) PROHIBITION.—Subchapter A of chapter V of the  
16 Federal Food, Drug, and Cosmetic Act (21 U.S.C. 351  
17 et seq.) is amended by adding at the end the following:

18 **“SEC. 524B. CLINICAL SOFTWARE AND HEALTH SOFTWARE.**

19 “Clinical software and health software shall not be  
20 subject to regulation under this Act.”.

21 **SEC. 4. EXCLUSION FROM DEFINITION OF DEVICE.**

22 Section 201(h) of the Federal Food, Drug, and Cos-  
23 metic Act (21 U.S.C. 321) is amended by adding at the  
24 end “The term ‘device’ does not include clinical software  
25 or health software.”.